

**DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **BAG HANDLE AND METHOD AND MEANS OF ATTACHMENT** the specification of which:

- (a) ☐ is attached hereto; or
- (b) ☒ was filed on October 24, 2000 as Application No. 09/674,142, or Express Mail No., as Application No. not yet known EL 485 609 192 US and was amended on \_\_\_\_\_ (if applicable); or
- (c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any) and/or under PCT Article 34 on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) listed below and have also identified below any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed for the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 U.S.C. § 119	
PCT	PCT/AU99/00308	23/04/99	<input checked="" type="checkbox"/> YES	NO <input type="checkbox"/>
AUSTRALIA	PP3176	24/04/98	<input checked="" type="checkbox"/> YES	NO <input type="checkbox"/>
AUSTRALIA	PP4605	10/07/98	<input checked="" type="checkbox"/> YES	NO <input type="checkbox"/>
AUSTRALIA	PP7424	01/12/98	<input checked="" type="checkbox"/> YES	NO <input type="checkbox"/>
			<input type="checkbox"/> YES	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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Attorney Docket No. DAVI109.001APCPrior U.S.A. Application(s)

Application No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_ Status: \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Edwin Lorenzo BogatezInventor's signature \_\_\_\_\_ Day 15 Month 12 Year 2000Residence (city and country): SUNSHINE AUSTRALIACitizenship: AUSTRALIANPost Office Address: 51-57 Carlotta Street, Artarmon, New South Wales, 2064, AUSTRALIA

Send Correspondence To:

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Customer No. 20,995R:\DOCS\MHT\MIIT-5927.DOC:lw  
110300

Applicant or Patentee: Edwin Lorenzo Bogatez

Attorney's Docket No.: DAVI109.001APC

Application or Patent No.:

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Filed or Issued: October 24, 2000

For: BAG HANDLE AND METHOD AND MEANS OF ATTACHMENT

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL-ENTITY STATUS

1. I, the undersigned, do hereby declare that:

- a. ☐ I am an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention described in the patent or application identified above; OR
- b. ☐ While I am not an inventor, I declare that rights under contract or law have been conveyed to and remain with me with regard to the invention described in the patent or application identified above. I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees to the United States Patent and Trademark Office if I had made the invention; OR
- c. ☐ I am the owner of the small business concern identified below OR  
☒ I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS: Handletec Pty LtdADDRESS OF SMALL BUSINESS: 51-57 Carlotta Street, Artarmon, New South Wales, 2064, Australia

If either of the boxes in item (c) is checked, I further declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.1301 through 121.1305, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I further declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the patent or application identified above; OR

- d. ☐ I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: \_\_\_\_\_

ADDRESS OF NONPROFIT ORGANIZATION: \_\_\_\_\_

TYPE OF NONPROFIT ORGANIZATION: \_\_\_\_\_

- ☐ university or other institution of higher education; OR
- ☐ tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)); OR
- ☐ nonprofit scientific or educational organization qualified under a nonprofit organization statute under a statute of a state of the United States of America  
 (name of state: \_\_\_\_\_)  
 (citation of statute: \_\_\_\_\_); OR
- ☐ would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America; OR
- ☐ would qualify as nonprofit scientific or educational organization qualified under a nonprofit organization statute under a statute of a state of the United States of America if located in the United States of America  
 (name of state: \_\_\_\_\_)  
 (citation of statute: \_\_\_\_\_)

If Box (d) is checked, I further declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in the patent or application identified above.

Applicant or Patentee: Edwin Lorenzo Bogatez

Attorney's Docket No.: DAVI109.001APC

Application or Patent No.:

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Filed or Issued: October 24, 2000

For: **BAG HANDLE AND METHOD AND MEANS OF ATTACHMENT**

2. The individual, concern or organization identified above has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
3. If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.

**\*NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

4. I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Edwin Lorenzo BOGATEZTITLE OF PERSON (if not an owner or individual): DirectorADDRESS OF PERSON SIGNING: 51-57 Carlotta Street, Artarmon, New South Wales  
2064, Australia

NAME OF PERSON SIGNING: \_\_\_\_\_

TITLE OF PERSON (if not an owner or individual): \_\_\_\_\_

ADDRESS OF PERSON SIGNING: \_\_\_\_\_

NAME OF PERSON SIGNING: \_\_\_\_\_

TITLE OF PERSON (if not an owner or individual): \_\_\_\_\_

ADDRESS OF PERSON SIGNING: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

DATE: 15 December, 2000

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

DAV109.001A  
MHT/MOH

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO. 09/674142	FIRST NAMED APPLICANT BOGATEZ	ATTY. DOCKET NO. E DAV109.001A
INTERNATIONAL APPLICATION NO. PCT/AU99/00308		
I.A. FILING DATE 23 APR 99		PRIORITY DATE 24 APR 98
DATE MAILED: 20 NOV 2000		

KNOBBE MARTENS OLSON & BEAR  
SIXTEENTH FLOOR  
620 NEWPORT CENTER DRIVE  
NEWPORT BEACH, CA 92660

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494).
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventor(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed 24 oct 00 and
- ☐ Information Disclosure Statement(s) filed and
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
- ☐ Other:

DOCKETED ON: DEC 11 3 2000  
 BY: HSP  
 RECD TO: MHT/MOH  
 DEC 11 2000  
 MAY 20 2001  
 MHT/MOH

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

Vonda M. Wallace *VW*

FORM PCT/DO/EO/905 (December 1997)

Telephone: 703-305-3736

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\*\*\* RX REPORT \*\*\*  
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RECEPTION OK

TX/RX NO	8097	
CONNECTION TEL		9097814507
SUBADDRESS		
CONNECTION ID	KMOB	
ST. TIME	09/05 16:19	
USAGE T	01'48	
PGS.	10	
RESULT	OK	